

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

**RATTENBURY GROUP
WAKAMATSU BUILDING
3-3-6 NIHONBASHI-HONCHO
CHUO-KU
TOKYO 103-0023
JAPAN**

**28TH FL SCOTIA PLAZA
40 KING STREET WEST
M5H 1H1
TORONTO, ONTARIO
CANADA**

**THE EXCHANGE TOWER
100 KING STREET WEST
TORONTO
0NM5X 1E3
CANADA**

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

We note that the firm also has a website, www.rattenburygroup.com.

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.